# UNITED STATES SECURITIES AND EXCHANGE COMMISSION

WASHINGTON, D.C. 20549

# **SCHEDULE 13D**

(Rule 13d-102)

INFORMATION TO BE INCLUDED IN STATEMENTS FILED PURSUANT TO RULE 13d-l(a) AND AMENDMENTS THERETO FILED PURSUANT TO RULE 13d-2(a)

(Amendment No. 2)\*

# Aprea Therapeutics, Inc.

(Name of Issuer)

**Common Stock, par value \$0.001 per share** (Title of Class of Securities)

03836J102

(CUSIP Number)

Rebecca Lucia 5AM Venture Management, LLC 501 2<sup>nd</sup> Street, Suite 350 San Francisco, CA 94107 (415) 993-8565

(Name, Address and Telephone Number of Person Authorized to Receive Notices and Communications)

June 11, 2020

(Date of Event Which Requires Filing of this Statement)

If the filing person has previously filed a statement on Schedule 13G to report the acquisition that is the subject of this Schedule 13D, and is filing this schedule because of §§240.13d-1(e), 240.13d-1(f) or 240.13d-1(g), check the following box.

\* The remainder of this cover page shall be filled out for a reporting person's initial filing on this form with respect to the subject class of securities, and for any subsequent amendment containing information which would alter disclosures provided in a prior cover page.

The information required on the remainder of this cover page shall not be deemed to be "filed" for the purpose of Section 18 of the Securities Exchange Act of 1934 ("Act") or otherwise subject to the liabilities of that section of the Act but shall be subject to all other provisions of the Act.

1.	Name of Report	ing Persons	5	
	5AM Ventures	IV, L.P.		
2.	Check the Appr	opriate Box	a if a Member of a Group (see instructions)	
	(a)			
3.	(b) SEC USE ONLY	7		x(1)
5.	SEC USE ONL.	Ĩ		
4.	Source of Funds	s (see instru	actions)	
	WC			
5.	Check if Disclos	sure of Leg	al Proceedings Is Required Pursuant to Item 2(d) or 2(e)	
6.	Citizenship or P	lace of Org	rentantion	
0.	Childenship of P	lace of Org	duization	
	Delaware			
		7.	Sole Voting Power	
			0	
	Number of	8.	Shared Voting Power	
	Shares Beneficially		1,938,549 shares of common stock (2)	
	Owned by	9.	Sole Dispositive Power	
	Each Reporting	5.	Sole Dispositive Fower	
	Person With		0	
		10.	Shared Dispositive Power	
			1,938,549 shares of common stock (2)	
11.	Aggregate Amo	unt Benefic	cially Owned by Each Reporting Person	
	1,938,549 share	s of commo	on stock (2)	
				_
12.	Check if the Ag	gregate An	nount in Row (11) Excludes Certain Shares (see instructions)	
13.	Percent of Class	Represent	ed by Amount in Row 11	
	9.2% (3)			
14.	Type of Reporting	ng Person (	see instructions)	
	PN			

(1) This Schedule 13D is filed by 5AM Partners IV, LLC ("5AM Partners IV"), 5AM Ventures IV, L.P. ("5AM IV"), 5AM Co-Investors IV, L.P. ("5AM Co-Investors IV"), 5AM Opportunities I, L.P. ("5AM Opportunities"), 5AM Opportunities I (GP), LLC ("5AM Opportunities GP"), Dr. John D. Diekman ("Diekman"), Andrew J. Schwab ("Schwab") and Dr. Scott M. Rocklage ("Rocklage" and, with 5AM Partners IV, 5AM IV, 5AM Co-Investors IV, 5AM Opportunities, 5AM Opportunities GP, Diekman and Schwab, collectively, the "Reporting Persons"). The Reporting Persons expressly disclaim status as a "group" for purposes of this Schedule 13D.

(2) These shares are held by 5AM IV. Diekman, Schwab and Rocklage, as managing members of 5AM Partners IV, share voting and investment authority over the shares held by 5AM IV.

1.	Name of Reporti	ng Persons			
	5AM Co-Investo	ors IV, L.P	2		
2.	Check the Appro (a) (b)	priate Box	if a Member of a Group (see instructions)	□ ⊠(1)	
3.	SEC USE ONLY	-			
4.	Source of Funds	(see instru	ctions)		
	WC				
5.	Check if Disclosure of Legal Proceedings Is Required Pursuant to Item 2(d) or 2(e)				
6.	Citizenship or Pl	ace of Org	anization		
	Delaware				
		7.	Sole Voting Power		
			0		
	Number of Shares	8.	Shared Voting Power		
	Beneficially		80,772 shares of common stock (2)		
	Owned by Each	9.	Sole Dispositive Power		
	Reporting Person With		0		
		10.	Shared Dispositive Power		
			80,772 shares of common stock (2)		
11.	Aggregate Amou	int Benefic	ially Owned by Each Reporting Person		
	80,772 shares of	common s	tock (2)		
12.	Check if the Agg	regate Am	ount in Row (11) Excludes Certain Shares (see instructions)		
13.	Percent of Class	Represente	ed by Amount in Row 11		
	0.4% (3)				
14.	Type of Reportin	g Person (s	see instructions)		
	PN				

(2) These shares are held by 5AM Co-Investors IV. Diekman, Schwab and Rocklage, as managing members of 5AM Partners IV, share voting and investment authority over the shares held by 5AM Co-Investors IV.

1.	Name of Reporti	ng Persons	5	
	5AM Partners I			
2.			x if a Member of a Group (see instructions)	□ ⊠(1)
3.	SEC USE ONLY	7		四(1)
4.	Source of Funds	(see instru	ictions)	
	AF			
5.	Check if Disclos	ure of Leg	al Proceedings Is Required Pursuant to Item 2(d) or 2(e)	
6.	Citizenship or Pla	ace of Org	anization	
	Delaware			
		7.	Sole Voting Power	
			0	
	Number of	8.	Shared Voting Power	
	Shares Beneficially		2,019,321 shares of common stock (2)	
	Owned by Each	9.	Sole Dispositive Power	
	Reporting Person With		0	
		10.	Shared Dispositive Power	
			2,019,321 shares of common stock (2)	
11.	Aggregate Amou	ınt Benefic	cially Owned by Each Reporting Person	
	2,019,321 shares	of commo	on stock (2)	
12.	Check if the Agg	gregate Arr	nount in Row (11) Excludes Certain Shares (see instructions)	
13.	Percent of Class	Represent	ed by Amount in Row 11	
	9.6% (3)			
14.	Type of Reportin	g Person (	see instructions)	
	00			

(2) Includes (i) 1,938,549 shares held by 5AM IV; and (ii) 80,772 shares held by 5AM Co-Investors IV. Diekman, Schwab and Rocklage, as managing members of 5AM Partners IV, share voting and investment authority over the shares held by 5AM IV and 5AM Co-Investors IV.

1.	Name of Reporti	ng Persons			
	5AM Opportuni	ities I, L.P			
2.	Check the Appro (a) (b)	priate Box	if a Member of a Group (see instructions)	□ ⊠(1)	
3.	SEC USE ONLY	7		`, , ,	
4.	Source of Funds	(see instru	ctions)		
	WC				
5.	Check if Disclosure of Legal Proceedings Is Required Pursuant to Item 2(d) or 2(e)				
6.	Citizenship or Pl	ace of Orga	anization		
	Delaware				
		7.	Sole Voting Power		
			0		
	Number of	8.	Shared Voting Power		
	Shares Beneficially		333,333 shares of common stock (2)		
	Owned by Each	9.	Sole Dispositive Power		
	Reporting Person With		0		
		10.	Shared Dispositive Power		
			333,333 shares of common stock (2)		
11.	Aggregate Amou	ınt Benefic	ially Owned by Each Reporting Person		
	333,333 shares o	f common	stock (2)		
12.	Check if the Agg	pregate Am	ount in Row (11) Excludes Certain Shares (see instructions)		
45					
13.		Represente	ed by Amount in Row 11		
	1.6% (3)				
14.	Type of Reportin	g Person (s	see instructions)		
	PN				

(2) These shares are held by 5AM Opportunities. Schwab and Kush Parmar, as managing members of 5AM Opportunities GP, share voting and investment authority over the shares held by 5AM Opportunities.

1.	Name of Reporting	ng Person	S				
	5AM Opportuni	ities I (GF	P), LLC				
2.	Check the Appro (a) (b)	priate Box	x if a Member of a Group (see instructions)	o x(1)			
3.		SEC USE ONLY					
4.	Source of Funds	(see instru	actions)				
	AF						
5.	Check if Disclose	ure of Leg	al Proceedings Is Required Pursuant to Item 2(d) or 2(e)	0			
6.	Citizenship or Pl	ace of Org	anization				
	Delaware						
		7.	Sole Voting Power				
			0				
	Number of	8.	Shared Voting Power				
	Shares Beneficially		333,333 shares of common stock (2)				
	Owned by Each	9.	Sole Dispositive Power				
	Reporting Person With		0				
		10.	Shared Dispositive Power				
			333,333 shares of common stock (2)				
11.	Aggregate Amou	ınt Benefio	cially Owned by Each Reporting Person				
	333,333 shares o	f common	stock (2)				
12.	Check if the Agg	regate An	nount in Row (11) Excludes Certain Shares (see instructions)	0			
13.	Percent of Class	Represent	ed by Amount in Row 11				
	1.6% (3)						
14.	Type of Reportin	g Person (	see instructions)				
	00						

(2) Includes 333,333 shares held by 5AM Opportunities. Schwab and Kush Parmar, as managing members of 5AM Opportunities GP, share voting and investment authority over the shares held by 5AM Opportunities.

1.	Name of Reporting	ng Persons	3	
	Dr. John D. Diek	man		
2.		priate Box	x if a Member of a Group (see instructions)	
	(a) (b)			0 x(1)
3.	SEC USE ONLY			
4.	Source of Funds	(see instru	ictions)	
	AF			
5.	Check if Disclos	ure of Leg	al Proceedings Is Required Pursuant to Item 2(d) or 2(e)	0
6.	Citizenship or Pla	ace of Org	anization	
	United States			
		7.	Sole Voting Power	
			0	
	Number of	8.	Shared Voting Power	
	Shares Beneficially		2,019,321 shares of common stock (2)	
	Owned by Each	9.	Sole Dispositive Power	
	Reporting Person With		0	
		10.	Shared Dispositive Power	
			2,019,321 shares of common stock (2)	
11.	Aggregate Amou	nt Benefic	cially Owned by Each Reporting Person	
	2,019,321 shares	of commo	on stock (2)	
12.	Check if the Agg	regate An	nount in Row (11) Excludes Certain Shares (see instructions)	0
13.	Percent of Class	Represent	ed by Amount in Row 11	
	9.6% (3)			
14.	Type of Reportin	g Person (	see instructions)	
	IN			

(2) Includes (i) 1,938,549 shares held by 5AM IV; and (ii) 80,772 shares held by 5AM Co-Investors IV. Diekman, Schwab and Rocklage, as managing members of 5AM Partners IV, share voting and investment authority over the shares held by 5AM IV and 5AM Co-Investors IV.

1.	Name of Reportir	ng Persons	S	
	Andrew J. Schwa	ŀb		
2.		priate Box	x if a Member of a Group (see instructions)	
	(a) (b)			0 x(1)
3.	SEC USE ONLY			
4.	Source of Funds (	(see instru	ictions)	
	AF			
5.	Check if Disclosu	ire of Leg	al Proceedings Is Required Pursuant to Item 2(d) or 2(e)	0
6.	Citizenship or Pla	ace of Org	anization	
	United States			
		7.	Sole Voting Power	
			0	
	Number of	8.	Shared Voting Power	
	Shares Beneficially		2,352,654 shares of common stock (2)	
	Owned by Each	9.	Sole Dispositive Power	
	Reporting Person With		0	
		10.	Shared Dispositive Power	
			2,352,654 shares of common stock (2)	
11.	Aggregate Amou	nt Benefic	cially Owned by Each Reporting Person	
	2,352,654 shares	of commo	on stock (2)	
12.	Check if the Agg	regate An	nount in Row (11) Excludes Certain Shares (see instructions)	0
13.	Percent of Class I	Represent	ed by Amount in Row 11	
	11.2% (3)			
14.	Type of Reporting	g Person (	see instructions)	
	IN			

(2) Includes (i) 1,938,549 shares held by 5AM IV; (ii) 80,772 shares held by 5AM Co-Investors IV; and (iii) 333,333 shares held by 5AM Opportunities. Diekman, Schwab and Rocklage, as managing members of 5AM Partners IV, share voting and investment authority over the shares held by 5AM IV and 5AM Co-Investors IV. Schwab and Kush Parmar, as managing members of 5AM Opportunities GP, share voting and investment authority over the shares held by 5AM Opportunities.

1.	Name of Reporti	ng Persons	3	
	Dr. Scott M. Roc	klage		
		_		
2.	(a)	priate Box	if a Member of a Group (see instructions)	0
	(b)			x(1)
3.	SEC USE ONLY	,		
4.	Source of Funds	(see instru	ctions)	
	AF			
5.	Check if Disclos	ure of Lega	al Proceedings Is Required Pursuant to Item 2(d) or 2(e)	0
6.	Citizenship or Pl	ace of Org	anization	
	United States			
		7.	Sole Voting Power	
			0	
	Number of	8.	Shared Voting Power	
	Shares Beneficially		2,019,321 shares of common stock (2)	
	Owned by	9.	Sole Dispositive Power	
	Each Reporting			
	Person With	_	0	
		10.	Shared Dispositive Power	
			2,019,321 shares of common stock (2)	
11.	Aggregate Amou	int Benefic	ially Owned by Each Reporting Person	
	2,019,321 shares	of commo	on stock (2)	
12.	Check if the Agg	regate Am	nount in Row (11) Excludes Certain Shares (see instructions)	0
13.	Percent of Class	Represente	ed by Amount in Row 11	
	9.6% (3)			
14.	Type of Reportin	g Person (:	see instructions)	
		- (		
	IN			

(2) Includes (i) 1,938,549 shares held by 5AM IV; and (ii) 80,772 shares held by 5AM Co-Investors IV. Diekman, Schwab and Rocklage, as managing members of 5AM Partners IV, share voting and investment authority over the shares held by 5AM IV and 5AM Co-Investors IV.

**Explanatory Note:** This Amendment No. 2 (the "Amendment") to that statement on Schedule 13D is being filed by 5AM Partners IV, LLC ("5AM Partners IV"), 5AM Ventures IV, L.P. ("5AM IV"), 5AM Co-Investors IV, L.P. ("5AM Co-Investors IV"), 5AM Opportunities I, L.P. ("5AM Opportunities"), 5AM Opportunities I (GP), LLC ("5AM Opportunities GP"), Dr. John D. Diekman ("Diekman"), Andrew J. Schwab ("Schwab") and Dr. Scott M. Rocklage ("Rocklage" and, with 5AM Partners IV, 5AM IV, Co-Investors IV, 5AM Opportunities, 5AM Opportunities GP, Diekman and Schwab, collectively, the "Reporting Persons") and amends and restates the Schedule 13D filed with the Commission on October 17, 2019, as amended by Amendment No. 1 filed May 22, 2020 (the "Original Schedule 13D") and relates to shares of Common Stock, \$0.001 par value per share of Aprea Therapeutics, Inc., a Delaware corporation (the "Issuer"). This Amendment is being filed by the Reporting Persons to report sales of Common Stock of the Issuer on June 11, 2020. Accordingly, the number of securities beneficially owned by the Reporting Persons has decreased.

Items 4, 5 and 7 of the Original Schedule 13D are hereby amended and supplemented to the extent hereinafter expressly set forth and, except as amended and supplemented hereby, the Original Schedule 13D remains in full force and effect. All capitalized terms used in this Amendment but not defined herein shall have the meanings ascribed thereto in the Original Schedule 13D.

#### Item 4. Purpose of Transaction

On June 11, 2020, 5AM IV sold an aggregate of 235,200 shares of Common Stock in an open market transaction at a price of \$28.7527 per share, and 5AM Co-Investors IV sold an aggregate of 9,800 shares of Common Stock in an open market transaction at a price of \$28.7527 per share.

### Item 5. Interest in Securities of the Issuer

(a) – (b). The following information with respect to the ownership of the Common Stock of the Issuer by the persons filing this statement on Schedule 13D is provided as of June 11, 2020:

Reporting Persons	Shares Held Directly	Sole Voting Power	Shared Voting Power(1)	Sole Dispositive Power	Shared Dispositive Power (1)	Beneficial Ownership	Percentage of Class (3)
5AM IV	1,938,549	0	1,938,549	0	1,938,549	1,938,549	9.2%
5AM Co-Investors IV	80,772	0	80,772	0	80,772	80,772	0.4%
5AM Partners IV (1)	0	0	2,019,321	0	2,019,321	2,019,321	9.6%
5AM Opportunities	333,333	0	333,333	0	333,333	333,333	1.6%
5AM Opportunities GP (2)	0	0	333,333	0	333,333	333,333	1.6%
Diekman (1)	0	0	2,019,321	0	2,019,321	2,019,321	9.6%
Schwab (1) (2)	0	0	2,352,654	0	2,352,654	2,352,654	11.2%
Rocklage (1)	0	0	2,019,321	0	2,019,321	2,019,321	9.6%

(1) Includes 1,938,549 shares of Common Stock held by 5AM IV and 80,772 shares of Common Stock held by 5AM Co-Investors IV. Diekman, Schwab and Rocklage, as managing members of 5AM Partners IV, share voting and investment authority over the shares held by 5AM IV and 5AM Co-Investors IV.

- (2) Includes 333,333 shares of Common Stock held by 5AM Opportunities. Schwab and Parmar, as managing members of 5AM Opportunities GP, share voting and investment authority over the shares held by 5AM Opportunities.
- (3) Percentage based on 21,054,842 shares of Common Stock outstanding as of May 15, 2020 as disclosed in the Issuer's Form 10-Q filed with the Securities and Exchange Commission on May 15, 2020.

(c) Except as set forth herein, none of the Reporting Persons has effected any transactions in shares of the Issuer's Common Stock during the last 60 days.

(d) No other person is known to have the right to receive or the power to direct the receipt of dividends from, or any proceeds from the sale of, the shares of Common Stock beneficially owned by any of the Reporting Persons.

(e) Not applicable.

### Item 7. Materials to be Filed as Exhibits.

A. Joint Filing Agreement as required by Rule 13d-1(k)(1) under the Securities Exchange Act of 1934, as amended.

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### **SIGNATURES**

After reasonable inquiry and to the best of my knowledge and belief, I certify that the information set forth in this statement is true, complete and correct.

Date: June 15, 2020

#### 5AM Ventures IV, L.P.

- By: 5AM Partners IV, LLC, Its General Partner
- By: /s/ Andrew J. Schwab Andrew J. Schwab Managing Member

### 5AM Co-Investors IV, L.P.

- By: 5AM Partners IV, LLC, Its General Partner
- By: /s/ Andrew J. Schwab Andrew J. Schwab Managing Member

### **5AM Partners IV, LLC**

By: /s/ Andrew J. Schwab Andrew J. Schwab Managing Member

### 5AM Opportunities I, L.P.

- By: 5AM Opportunities I (GP), LLC, Its General Partner
- By: /s/ Andrew J. Schwab Andrew J. Schwab Managing Member

### 5AM Opportunities I (GP), LLC

By: /s/ Andrew J. Schwab Andrew J. Schwab Managing Member

### DR. JOHN D. DIEKMAN

By: /s/ Dr. John D. Diekman Dr. John D. Diekman

### ANDREW J. SCHWAB

By:	/s/ Andrew J. Schwab
	Andrew J. Schwab

## DR. SCOTT M. ROCKLAGE

By:	/s/ Dr. Scott M. Rocklage
	Dr. Scott M. Rocklage

#### 13D

#### Exhibit 1

## Joint Filing Agreement

The undersigned hereby agree that a single Schedule 13D (or any amendment thereto) relating to the Common Stock of Aprea Therapeutics, Inc. shall be filed on behalf of each of the undersigned and that this Agreement shall be filed as an exhibit to such Schedule 13D.

Date: June 15, 2020

### 5AM Ventures IV, L.P.

By:	5AM Partners IV, LLC,
	Its General Partner

By: /s/ Andrew J. Schwab Andrew J. Schwab Managing Member

### 5AM Co-Investors IV, L.P.

- By: 5AM Partners IV, LLC, Its General Partner
- By: /s/ Andrew J. Schwab Andrew J. Schwab Managing Member

### **5AM Partners IV, LLC**

By: /s/ Andrew J. Schwab Andrew J. Schwab Managing Member

### 5AM Opportunities I, L.P.

- By: 5AM Opportunities I (GP), LLC, Its General Partner
- By: /s/ Andrew J. Schwab Andrew J. Schwab Managing Member

### 5AM Opportunities I (GP), LLC

By: /s/ Andrew J. Schwab Andrew J. Schwab Managing Member

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### DR. JOHN D. DIEKMAN

By:	/s/ Dr. John D. Diekman	
	Dr. John D. Diekman	

# ANDREW J. SCHWAB

By:	/s/ Andrew J. Schwab
	Andrew J. Schwab

# DR. SCOTT M. ROCKLAGE

By:	/s/ Dr. Scott M. Rocklage
	Dr. Scott M. Rocklage